# Performance Based Appraisal System (PBAS)

for

## **Career Advancement Scheme**

Placement as Professor (Academic Level 14) in Government Colleges as per UGC 7<sup>th</sup> Regulations, 2018 (for placements w.e.f. from 18-07-2018)

# Guidelines (for Principals and IQAC Coordinators)

# September 2021

# Department of Collegiate Education Kerala

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#### 1. Introduction

The MHRD vide Letter No.F1/77/2015-U.II(1) dated 2/11/2017, 8/11/2017 has issued Orders revising the Pay scales of Teachers in Universities and Colleges in tune with the 7<sup>th</sup> Central Pay Commission Order. In continuation, the University Grants Commission (UGC) vide Gazette Notification dated 18-07-2018 has issued the new guidelines applicable to the same named as 'UGC regulations on minimum qualification for appointment of teachers and other academic staff in Universities and Colleges and measures for the maintenance of standards in higher education, 2018' in supersession of the UGC regulations on minimum qualifications for appointment of teachers and other academic staff in universities and colleges and measures for the maintenance of standards in higher education 2010 and all it amendments.

The faculty members shall be considered for the promotion from one Academic Level/Grade Pay to another as per the CAS provided under the UGC Regulations on Minimum Qualifications for Appointment of Teachers and other Academic Staff in Universities and Colleges and Measures for the Maintenance of Standards in Higher Education 2018.

Government of Kerala vide G.O. (P) No. 18/2019 H Edn. dated Thiruvananthapuram 29-06-2019 has given sanction for the revision of Scale of Pay of Teachers in Affiliated Colleges in Kerala. Subsequently, Government of Kerala vide G.O. (Rt) No. 724/2020/ H. Edn. dated, Thiruvananthapuram 05-06-2020 has given sanction for implementing the placement/ promotion of teachers of Govt. Colleges in accordance with the provisions in UGC 7<sup>th</sup> regulations, 2018. Later, Government of Kerala vide G.O. (P) No. 9/2021 H Edn. dated Thiruvananthapuram 20-02-2021 has sanctioned the Career Advancement Placement to Professor (Academic Level 14) in accordance with the norms, standards and procedures set out in the UGC regulations 2018.

The criteria for promotions under Career Advancement Scheme laid down under the UGC 7<sup>th</sup> Regulations shall be effective from the date of notification of these Regulations i.e. 18-07-2018. The Career Advancement Scheme (CAS) of the 7<sup>th</sup> regulation, 2018 envisages assessment under two broad activities for College teachers other than Physical Education and under five broad activities for Physical Education teachers in colleges as detailed in Table 1 and Table 5 respectively of Appendix II. A minimum of 110 Academic/ Research Score as per Appendix II, Table 2 of UGC Gazette Notification dated 18-07-2018 is also required for Career Advancement to the level of Professor (Academic Level 14).

#### 1.1 Guidelines to Principals

The Principals shall\_

- 1. issue proceedings entrusting IQAC to process placement proposals of teachers and onward transmission of the same to the Directorate of Collegiate education through proper channel.
- 2. issue relevant certificates which might be of interest to the applicant after verification.
- 3. issue Annual Performance Assessment Report (APAR) on academic year basis (June to May) as per the format given in this guidelines.
- 4. shall ensure that Annual Performance Assessment Report (APAR) has been prepared based on verifiable records.
- 5. shall put his/her initials on all pages of the PBAS Proforma and the APAR.

#### 1.2 Guidelines to IQAC

- 1. The IQAC shall act as the Documentation and Record Keeping Cell for the processing of Proposals received from Teachers.
- 2. The IQAC constituted in the college as per the NAAC guidelines is to be entrusted with the assessment of the Activities and Academic/ Research Scores.
- 3. The IQAC shall assist in the detailing of assessment criteria and methodology proforma as per the institutional requirements based on the Tables 1 (a) and (b) of Annexure II of this guidelines.
- 4. The IQAC shall vet the application submitted by the eligible candidates and verify the supporting documents in accordance with regulation and assist the Selection Committee to prepare the final recommendation to DCE.
- 5. The IQAC shall ensure submission of Annual Performance Assessment Report (APAR) for the assessment period by each candidate.
- 6. IQAC should ensure that research publications are in UGC Listed or Peer Reviewed Journals (Impact factor to be determined as per Thomson Reuter's List).
- 7. The IQAC shall verify the Activities and Academic/ Research Scores claimed by the applicants and enter the same in the Proforma with necessary changes (Annexure I, Form 8 of this guideline).
- 8. The IQAC shall ensure verification of eligibility criteria and CAS criteria of all candidates.

- 9. The IQAC shall ensure the Applicants were in Active Roll at the due date of Placement/Promotion.
- 10. IQAC should maintain an inward register for registering and dispatching of proposals of Placement/Promotion in the following format.

Sl.	Inward No./	Name &	Date of	Date of Approval/	Remarks
No.	Subject/ Year	Designation	submission	Rejection	

11. IQAC shall maintain personal folders of each teacher for proper filing of proposals of Placement/Promotion.

#### 1.3 Guidelines to Selection Committee (SC)

- 1. The Committee shall verify the Activities and Academic/ Research Scores claimed in the PBAS proforma submitted by the applicants with their Activities/ API score sheet submitted by IQAC. The decision of the Selection committee shall be final.
- 2. All members present in the Selection Committee shall sign the minutes and the assessment report as given Annexure 1, Form 7.

#### 1.4 General Guidelines for Placement

- 1. Teachers shall submit their applications to the Principal of the College where they are working at present through the Head/Teacher in charge of the Department. Those teachers attached to the Directorate of Collegiate Education working in Engineering/ Medical/ Polytechnic/ Law Colleges shall submit their proposal to the Principal of the nearby college where their subject is available through the Head of the institution.
- 2. Teachers on deputation/ maternity leave or any other eligible leave whose placements are due within the scheme period shall submit their application to their parent college. Teachers on deputation shall send their proposals to the parent college, duly forwarded by the immediate superior of the incumbent.
- 3. Teachers attached to the Directorate of Collegiate of Engineering/ Medical/ Polytechnic/ Law Colleges and Teachers on deputation shall submit an affidavit as per Annexure I, Form 6 while submitting proposals.
- 4. Annual Performance Assessment Reports (APAR) is to be prepared for each academic year (1st June to 31st May). Reports for periods less than 1 month

- (which could possibly arise during general transfer in the month of June) may be omitted.
- 5. The teachers shall submit the Placement Proposal along with necessary APARs and other supporting documents for CAS to the Principal for assessment. All claims made in the application are to be supported with relevant documents.
- 6. The details provided in the PBAS Proforma should agree with that given in the Annual Performance Assessment Reports (APAR).
- 7. i) If a candidate applies for promotion on completion of the minimum eligibility period and is successful in assessment the date of promotion shall be from that of minimum period of eligibility.
  - ii) If, however the candidate finds that he/she would fulfills the CAS promotion criteria at a later date and applies on that date and is successful, his/her promotion shall be effected from the date of the candidate fulfilling the eligibility criteria.
  - iii) If he/she fails to succeed in first assessment, he/she has to be reassessed only after one year. When such a candidate succeeds in the eventual assessment his/her promotion shall be deemed to be one year from the date of rejection.
- 8. A teacher can apply for placement three months before his/her due date on fulfillment of all criteria. The DCE shall send a general circular twice a year inviting application for CAS promotions.
- 9. Placement to the level of Professor has been permitted based on G.O. (P) No. 9/2021 H Edn. dated 20-02-2021. Hence placements to the post will be possible only from 18-07-2018 as per Option A of UGC regulations dated 18-07-2018.
- 10. A candidate who has secured minimum qualifications to be placed as Professor on a date even before 18-07-2018 will be placed as Professor with effect from 18-07-2018 only.
- 11. The list of documents to be appended with the PBAS is detailed in Annexure III of this guideline.

#### 2. Selection Committee

As per the UGC Regulations for assessment and grant of placement under CAS for Associate Professor (Academic Level 13A) to Professor (Academic Level 14) a Selection Committee has to be constituted.

#### Constitution of Selection Committee

- 1. Director of Collegiate Education Chairman
- 2. Additional Director of Collegiate Education Convenor
- 3. Principals of Colleges (2 Nos.) Members
- 4. Two subject Experts (not below the rank of Professor) nominated by DCE from a panel of five members.
- 5. An academician belonging to SC/ST/OBC/Minority/Women/ Differently abled categories nominated by the convenor, if a candidate belongs to any such category.
- 6. Five members including two subject experts shall constitute the Quorum.

# 3. Career Advancement Scheme (CAS) for College Teachers Associate Professor (Academic Level 13A) to Professor (Academic Level 14)

#### Eligibility:

- 1. Associate Professors who have completed three years of service in Academic Level 13A.
- 2. A Ph.D. degree in subject relevant/allied/relevant discipline.
- 3. A minimum of 10 research publications in peer-reviewed or UGC-listed journals out of which three research papers shall be published during the assessment period.
- 4. A minimum of 110 Research Score as per Annexure II, Table 2 of this guideline.

#### **CAS Promotion Criteria:**

A teacher shall be promoted if;

- i. The teacher gets 'satisfactory' or 'good' grade in the annual performance assessment reports of at least two of the last three years of the assessment period, as per Annexure II, Table 1 and at least 110 Academic/Research score as per Annexure II, Table 2.
- ii. The promotion to the post of Professor is recommended by Selection committee constituted in accordance with these Regulations.

Note: The Academic/Research Score is cumulative and accounts for the whole period of service.

Annexure I Form No.1

Place : Date :

# **Option for Assessment**

I	(Name), Associate Professor of
(Subject),	
	(Name of Institution) with
Scale of Pay 1,31,400-2,17,100 hereby opt to assess my	yself for Career Advancement
Scheme (as per the UGC 7 <sup>h</sup> Scheme) in the cadre of	Professor with Scale of Pay
1,44,200-2,18,200 with effect from	(Date).
Signature :	
Name :	
Designation:	
College :	

Annexure I Form No. 2

# Collegiate Education Department Kerala

#### Proforma for Promotion of Teachers under Career Advancement Scheme

(As per UGC Regulations on Minimum Qualifications for Appointment of Teachers and Other Academic Staff in Universities and Colleges and Measures for the Maintenance of Standards in Higher Education, Regulations, 2018)

#### PBAS Proforma for faculty other than Physical Education Placement from Associate Professor (Level 13A) to Professor (Level 14)

		PEN No.	
Part	-A: General Information and Academ	ic Background	
1.	Name (in Block Letters)	:	
2.	Father's /Mother's Name	:	
3.	Date of Birth	:	
4.	Gender	:	
5.	Name of the College & Department	:	
6.	Date of Entry in present service	:	
7.	Date of Declaration of Probation	:	
8.	Current Basic Pay	:	
9.	Date of placement to Associate Professor (Level 13A)	:	
10.	Order No. granting placement to Associate Professor (Level 13A)	:	
11.	Proposed date of eligibility for promotion as per eligibility conditions and CAS promotion criteria	:	
12.	Indicate whether belongs to (SC/ST/OBC/ Minority/ Differently Abled)	:	
13.	Address for Correspondence (with Pin Code) with Mobile No. and E-mail	:	
14.	Permanent Address (with Pin Code)	:	

#### 15. Academic Qualifications

		_			
Examinations	Name of the	Year of	% of	Division/	Subject
	Board/University	Passing	marks	Class/	
			obtained	Grade	
Graduation					
Post Graduation					
NET					

#### 16. Research Degrees

Degree	Title of Thesis/ Dissertation	Date of Award with Reg. No.	Discipline/ Subject	University
M.Phil.				
Ph.D.				
Any other*				

<sup>\*</sup>Professional qualification such as M. Tech., LLM etc.

#### 17. Appointments held prior to Joining the Current Department (In Collegiate Education)

Designation	Name of	Nature of	Date of		Salary	Reason	Total
	Employer	Appointment	Joining	Leaving	with grade	for	Duration
					pay/ Level	Leaving	

#### 18. Details of Placements received

Designation	Date of Placement	Service Completed (Yrs)
Assistant Professor (Sr. Scale/ Level 11)		
Assistant Professor (Sel. Grade/ Level 12)		
Associate Professor (Level 13 A)		

19. Details of Leave Without Allowance (other than with Medical Certificate)

Sl.	Per	Total Days of LWA			Cuan 1 Taka1	
No.	From	То	Years	Months	Days	Grand Total

20. Whether service relaxation is eligible

for possessing Ph.D./M.Phil.

21. Whether Verification Certificate of

previous service enclosed

(If Aided College service, certificate of Deputy

Director concerned)

I hereby declare that the information/documents provided by me are correct and verifiable, and I have carefully read the relevant provisions relating to promotion under Career Advancement Scheme (CAS) contained in UGC Regulations, 2018 as amended from time to time.

Signature: Name and Designation:

Name and Designation

Place : Date :

#### Part – B: Assessment Criteria and Methodology for Annual Performance Assessment and Academic/ Research Score

(Applicants are required to refer to the relevant provisions of UGC Regulations, 2018 relating to Career Advancement Scheme (CAS) and the Guidelines issued by DCE before filling this section)

#### I. Assessment of Annual Performance

(Refer Annexure II, Table 1 of DCE Guidelines)

**Activity 1: Teaching** 

Year	Mode of Teaching	Total Classes Assigned	Number of Classes taught as per documented record	No. of classes taught Total No. of classes assigned	Grading (to be filled by SC)
20xx-xx					
20xx-xx					
20xx-xx					

Add/delete rows as required

Activity 2: Involvement in Students Related Activities/ Research Activities

Year	Details of Activities	Number of Activities	Grading (to be filled by SC)
20xx-xx			
20xx-xx			
20xx-xx			

Add/delete rows as required

#### II. Assessment of Academic/ Research Score

(Refer Annexure II, Table 2 of DCE Guidelines)

(Assessment must be based on evidence produced by the teacher such as: copy of publications, project sanction letter, utilization and completion certificates issued by the University and acknowledgements for patent filing and approval letters, students' Ph.D. award letter, etc,.)

1. Research Papers in Peer-reviewed or UGC listed Journals

Sl.	Title of the paper and	Sl. No.	ISSN/	Whether	Whether you	No of	Score	Score
No.	Journal details (Name,	in	ISBN	Peer	are the	Co-	claimed	approved
	Year, Vol., Pages)	UGC	No.	reviewed,	Principal	authors		by SC
		list		Impact	First/ Principal/			
				factor if any*	Corresponding			
				uny	author or Co-			
					author			
	Total Score							

<sup>\*</sup> Impact factor to be determined as per Thomson Reuter's list

#### 2. Publications (other than Research Papers)

2(a) Books/ Chapters Published/ Edited

	(Author/Editor)	National)		author			
	Nature of authorship	(International/	No.	the Main			by SC
No.	in case of Book chapters), Publisher,	Publisher	ISBN	you are	authors	claimed	approved
Sl.	Title of Book (with page numbers	Nature of	ISSN/	Whether	No of Co-	Score	Score

2(b) Translation works in Indian and Foreign Languages by qualified faculties

Total Score								
		National)		author			-	
	Research papers) and Publisher	(International/	No.	the Main			by SC	l
No.	numbers in case of Book chapters/	Publisher	ISBN	you are	authors	claimed	approved	
Sl.	Title of Translated Book (with page	Nature of	ISSN/	Whether	No of Co-	Score	Score	

# 3. Creation of ICT mediated Teaching Learning pedagogy and content and development of new and innovative courses and curricula

3(a) Development of Innovative Pedagogy

Sl. No.	Type of Pedagogy	Class and Semester	Topic	Score claimed	Score approved by SC
			<b>Total Score</b>		

3(b) Design of New Curricula and Courses

Sl. No.	Name of Course	Class and Semester	University	Score claimed	Score approved by SC
			<b>Total Score</b>		

3(c) MOOCs

	Total Score						
No.		Involvement*	quadrants	Credits		claimed	by SC
Sl.	Name of MOOC	Nature of	No. of	Level &	University	Score	Score approved

<sup>\*</sup>Content Writer/ Subject Expert/ Course Coordinator

3(d) E-Content

			1		Total Score		
No.	Time of El Comen	Involvement*	quadrants	Credits	Oniversity	claimed	by SC
Sl.	Title of E-Content	Nature of	No. of	Level &	University	Score	Score approved

<sup>\*</sup> Content Writer/ Subject Expert/ Course Coordinator

#### 4. Research Guidance, Projects and Consultancy

4(a) Research Guidance

Sl.	Category	Number	Thesis	Degrees	Score	Score approved
No.		Enrolled	submitted	awarded	claimed	by SC
i	Ph.D.					
ii	M. Phil.		_			
iii	PG dissertation		ı			
				<b>Total Score</b>		

4(b) Research Projects Completed

Sl. No.	Title	Agency	Period	Grant/ Amount mobilized (Lakhs)	Score claimed	Score approved by SC

4(c) Research Projects Ongoing

Sl. No.	Title	Agency	Period	Grant/ Amount mobilized (Lakhs)	Score claimed	Score approved by SC

4(d) Consultancy

Sl. No.	Title	Agency	Period	Grant Amount mobilized (Lakhs)	Score claimed	Score approved by SC

#### 5. Patents, Policy Documents and Awards/Fellowships

5(a) Patents

Sl. No.	Description/ Patent	International/ National	Score claimed	Score approved by SC
		<b>Total Score</b>		

# 5(b) Policy document submitted to an International Body/Organization or Central/ State Government\*\*

Sl. No.	Title of the Policy Document	Nature of the Body to which submitted with details*	Score claimed	Score approved by SC

<sup>\*</sup>International/ Central Government/State Government

5(c) Awards/ Fellowships

Sl. No.	Name of the Award/ Fellowship	Whether International/ National	Awarded by	Score claimed	Score approved by SC
			Total Score		

6. Invited lectures / Resource Person/ Paper presentation in Seminars/ Conferences/Full paper in Conference Proceedings\*#

Sl.	Title of Lecture / Paper /	Name of	Nature of	Whether	Score	Score approved
No.	Academic Session	Conference/	Participation	International/	claimed	by SC
		Seminar and	(IL/RP/ Paper	National/		
		by whom	Presentation/	State/		
		Organized	Full Paper)	University level		
		<b>Total Score</b>				

<sup>\*</sup>Paper presented in Seminars/Conferences & also published as full paper in Conference Proceedings will be counted only once #The Combined Research Score from 5(b). Policy document & 6. Invited lectures / Resource Person/Paper presentation in Seminars/Conferences/Full paper in Conference Proceedings shall have an upper capping of 30% of the total research score

Summary of Scores claimed

1	, , , , , , , , , , , , , , , , , , ,	2 3				4	1		5		6			
1	a b a b c d a b							С	d	a	b	С	0	
	(Cat	otal A tegory (Calculo	1 to 6 v	vith ma	andato	гу сарг	oing)			core imed	Sco	re appr	oved b	y SC
No	No. of Categories contributing to Total Score						Clai	imed	1	Approve	ed by S	SC .		

#### **Part-C: Other Relevant Information**

Please give details of any other credential, significant contributions, awards received etc. not mentioned earlier:

Sl. No. | Details (Mention Year, Value etc. where relevant)

(Attach documentary proof in support of the information provided by you in this proforma)	
<b>List of Enclosures:</b> (Please attach, copies of certificates, sanction orders, papers etc. whichever necessary) 1.	
2. 3. 4.	
I hereby declare that the information/documents provided by me are correct as verifiable, and I have carefully read the relevant provisions relating to placement/promotion under Career Advancement Scheme (CAS) contained in UGC Regulations, 2018 amended from time to time.	on
Signature : Name and Designation :	
Place : Date :	
Certified that Mr./Ms./Dr	nt
Place : Date :	ıt
Remarks of the Principal on Punctuality and Conduct of the Applicant	
Principa	ıl
Place : Date :	

Annexure I Form No. 3

# Collegiate Education Department Kerala

#### Proforma for Promotion of Teachers under Career Advancement Scheme

(As per UGC Regulations on Minimum Qualifications for Appointment of Teachers and Other Academic Staff in Universities and Colleges and Measures for the Maintenance of Standards in Higher Education, Regulations, 2018)

### PBAS Proforma for Physical Education faculty Placement from Associate Professor (Level 13A) to Professor (Level 14)

DENI No

		PEN NO.
Part	-A: General Information and Acade	mic Background
1.	Name (in Block Letters)	:
2.	Father's /Mother's Name	:
3.	Date of Birth	:
4.	Gender	:
5.	Name of the College & Department	:
6.	Date of Entry in present service	:
7.	Date of Declaration of Probation	:
8.	Current Basic Pay	:
9.	Date of placement to Associate Professor (Level 13A)	:
10.	Order No. granting placement to Associate Professor (Level 13A)	:
11.	Proposed date of eligibility for promotion as per eligibility conditions and CAS promotion criteria	:
12.	Indicate whether belongs to (SC/ST/OBC/ Minority/ Differently Abled)	:
13.	Address for Correspondence (with Pin Code) with Mobile No. and E-mail	:
14.	Permanent Address (with Pin Code)	:

1	5.	Academic	<b>Oualifications</b>
1	υ.	1 ICaucillic	

Examinations	Name of the	Year of	% of	Division/	Subject
	Board/University	Passing	marks	Class/	-
			obtained	Grade	
Graduation					
Post Graduation					
NET					

#### 16. Research Degrees

Degree	Title of Thesis/ Dissertation	Date of Award with Reg. No.	Discipline/ Subject	University
M.Phil.				
Ph.D.				
Any other*				

<sup>\*</sup>Professional qualification such as M. Tech., LLM etc.

#### 17. Appointments held prior to Joining the Current Department (In Collegiate Education)

* *							
Designation	Name of	Nature of	Date of		Salary	Reason	Total
	Employer	Appointment	Joining	Leaving	with grade	for	Duration
					pay/ Level	Leaving	

#### 18. Details of Placements received

Designation	Date of Placement	Service Completed (Yrs)
Assistant Professor (Sr. Scale/ Level 11)		
Assistant Professor (Sel. Grade/ Level 12)		
Associate Professor (Level 13 A)		

19. Details of Leave Without Allowance (other than with Medical Certificate)

Sl.	Per	Period Total Days of LWA			Cuan I Takal	
No.	From	То	Years	Months	Days	Grand Total

20. Whether service relaxation is eligible :

for possessing Ph.D./M.Phil.

21. Whether Verification Certificate of

previous service enclosed (If Aided College service, certificate of Deputy

Director concerned)

I hereby declare that the information/documents provided by me are correct and verifiable, and I have carefully read the relevant provisions relating to promotion under Career Advancement Scheme (CAS) contained in UGC Regulations, 2018 as amended from time to time.

Signature : Name and Designation :

Place : Date :

#### Part – B: Assessment Criteria and Methodology for Annual Performance Assessment and Academic/ Research Score

(Applicants are required to refer to the relevant provisions of UGC Regulations, 2018 relating to Career Advancement Scheme (CAS) and the Guidelines issued by DCE before filling this section)

#### I. Assessment of Annual Performance

(Refer Annexure II, Table 1 of DCE Guidelines)

**Activity 1: Attendance** 

Year	Mode of Teaching	Total Classes Assigned	Number of Classes taught as per documented record	No. of classes taught Total No. of classes assigned	Grading (to be filled by SC)
20xx-xx					
20xx-xx					
20xx-xx					

Add/delete rows as required

**Activity 2** 

Year	Details of Activities	Number of Activities	Grading (to be filled by SC)
20xx-xx			
20xx-xx			
20xx-xx			

Add/delete rows as required

**Activity 3** 

Year	Details of Activities	Number of Activities	Grading (to be filled by SC)
20xx-xx			
20xx-xx			
20xx-xx			

Add/delete rows as required

**Activity 4** 

Year	Details of Activities	Number of Activities	Grading (to be filled by SC)
20xx-xx			
20xx-xx			
20xx-xx			

Add/delete rows as required

**Activity 5** 

Year	Details of Activities	Number of Activities	Grading (to be filled by SC)
20xx-xx			
20xx-xx			
20xx-xx			

Add/delete rows as required

#### II. Assessment of Academic/ Research Score

(Refer Annexure II, Table 2 of DCE Guidelines)

(Assessment must be based on evidence produced by the teacher such as: copy of publications, project sanction letter, utilization and completion certificates issued by the University and acknowledgements for patent filing and approval letters, students' Ph.D. award letter, etc,.)

1. Research Papers in Peer-reviewed or UGC listed Journals

Sl.	Title of the paper and	Sl. No.	ISSN/	Whether	Whether you	No of	Score	Score		
No.	Journal details (Name,	in	ISBN	Peer	are the	Co-	claimed	approved		
	Year, Vol., Pages)	UGC	No.	reviewed,	Principal	authors		by SC		
		list		Impact	First/					
				factor if	Principal/					
				any*	Corresponding					
					author or Co-					
					author					
	Total Score									

<sup>\*</sup> Impact factor to be determined as per Thomson Reuter's list

#### 2. Publications (other than Research Papers)

2(a) Books/ Chapters Published/ Edited

	(/	<b></b>						
Ī	Sl.	Title of Book (with page numbers	Nature of	ISSN/	Whether	No of Co-	Score	Score
	No.	in case of Book chapters), Publisher,	Publisher	ISBN	you are	authors	claimed	approved
		Nature of authorship	(International/	No.	the Main			by SC
		(Author/Editor)	National)		author			
Ī					To	tal Score		

2(b) Translation works in Indian and Foreign Languages by qualified faculties

				To	tal Score		
		National)		author			-
	Research papers) and Publisher	(International/	No.	the Main			by SC
No.	numbers in case of Book chapters/	Publisher	ISBN	you are	authors	claimed	approved
Sl.	Title of Translated Book (with page	Nature of	ISSN/	Whether	No of Co-	Score	Score

# 3. Creation of ICT mediated Teaching Learning pedagogy and content and development of new and innovative courses and curricula

3(a) Development of Innovative Pedagogy

Sl. No.	Type of Pedagogy	Class and Semester	Topic	Score claimed	Score approved by SC
			<b>Total Score</b>		

3(b) Design of New Curricula and Courses

Sl. No.	Name of Course	Class and Semester	University	Score claimed	Score approved by SC
			Total Score		

3(c) MOOCs

Sl. No.	Name of MOOC	Nature of Involvement*	No. of quadrants	Level & Credits	University	Score claimed	Score approved by SC
		<b>Total Score</b>					

<sup>\*</sup>Content Writer/ Subject Expert/ Course Coordinator

3(d) E-Content

Sl. No.	Title of E-Content	Nature of Involvement*	No. of quadrants	Level & Credits	University	Score claimed	Score approved by SC
				ı	<b>Total Score</b>		

<sup>\*</sup> Content Writer/ Subject Expert/ Course Coordinator

#### 4. Research Guidance, Projects and Consultancy

4(a) Research Guidance

-(4)						
Sl.	Category	Number	Thesis	Degrees	Score	Score approved
No.		Enrolled	submitted	awarded	claimed	by SC
i	Ph.D.					
ii	M. Phil.		_			
iii	PG dissertation		_			
				<b>Total Score</b>		

4(b) Research Projects Completed

Sl. No.	Title	Agency	Period	Grant / Amount mobilized (Lakhs)	Score claimed	Score approved by SC
	<u> </u>			Total Score		

4(c) Research Projects Ongoing

Sl. No.	Title	Agency	Period	Grant/ Amount mobilized (Lakhs)	Score claimed	Score approved by SC
				<b>Total Score</b>		

4(d) Consultancy

-(**)						
Sl.	Title	Agency	Period	Grant Amount	Score	Score approved
No.				mobilized (Lakhs)	claimed	by SC
	1		1	<b>Total Score</b>		

#### 5. Patents, Policy Documents and Awards/Fellowships

5(a) Patents

<u> </u>	1 4(0)1(0			
Sl.	Description/ Patent	International/	Score	Score approved
No.		National	claimed	by SC
		Total Score		

# 5(b) Policy document submitted to an International Body/Organization or Central/ State Government\*

Sl.	Title of the Policy Document	Nature of the Body to	Score	Score approved
No.		which submitted with	claimed	by SC
		details*		
		Total Score		

<sup>\*</sup>International/ Central Government/State Government

5(c) Awards/ Fellowships

2(2)	111/4166/ 16116 1/61116				
Sl.	Name of the Award/ Fellowship	Whether	Awarded by	Score	Score approved
No.		International/		claimed	by SC
		National			

	<b>Total Score</b>	

6. Invited lectures / Resource Person/ Paper presentation in Seminars/ Conferences/Full paper in Conference Proceedings\*#

Sl.	Title of Lecture/ Paper/	Name of	Nature of	Whether	Score	Score approved
No.	Academic Session	Conference/	Participation	International/	claimed	by SC
		Seminar and	(IL/RP/ Paper	National/		-
		by whom	Presentation/	State/		
		Organized	Full Paper)	University level		
		ı	ı	<b>Total Score</b>		

<sup>\*</sup>Paper presented in Seminars/Conferences & also published as full paper in Conference Proceedings will be counted only once \*The Combined Research Score from 5(b). Policy document & 6. Invited lectures / Resource Person/ Paper presentation in Seminars/ Conferences/Full paper in Conference Proceedings shall have an upper capping of 30% of the total research score

Summary of Scores claimed

Duilli	Summary of Scores claimed													
1	1	2 3				4	4		5			6		
1	a	Ъ	a	Ъ	С	d	a	b	С	d	a	Ъ	С	6
		otal A		-			-			ore med	Sco	re appr	oved b	y SC
		(Calculo												
No. of Categories contributing to Total Score					Clar	imed	F	Approve	ed by S	SC .				
110	. 01 C	No. of Categories contributing to Total Score						010						

#### Part-C: Other Relevant Information

Please give details of any other credential, significant contributions, awards received etc. not mentioned earlier:

Sl. No.	Details (Mention Year, Value etc. where relevant)

(Attach documentary proof in support of the information provided by you in this proforma)

#### List of Enclosures:

(Please attach, copies of certificates, sanction orders, papers etc. whichever necessary)

- 1. 2.
- 3.
- 4.

I hereby declare that the information/documents provided by me are correct and verifiable, and I have carefully read the relevant provisions relating to placement/promotion under Career Advancement Scheme (CAS) contained in UGC Regulations, 2018 as amended from time to time.

> Signature: Name and Designation:

Place Date

Certified that Mr./Ms./Dr	in the department application have been checked
Place : Date :	Head of the Department
Remarks of the Principal on Punctuality and Co	onduct of the Applicant
Place :	Principal

Annexure I Form No. 4

# Collegiate Education Department Kerala

# **Annual Performance Assessment Report**

(for faculty other than physical education)

(As per UGC Regulations on Minimum Qualifications for Appointment of Teachers and Other Academic Staff in Universities and Colleges and Measures for the Maintenance of Standards in Higher Education, Regulations, 2018)

	APAR	for the Academi	c Year .	•••••		
				PEN No.		
Part	-A: General Info	rmation and Acad	emic Bac	kground		
1.	Name (in Block L	etters)	:			
2.	Father's /Mother's	s Name	:			
3.	Date of Birth		:			
4.	Gender		:			
5.	Name of the Colle	ege & Department	:			
6.	Date of Entry in p	resent service	:			
7.	Date of Declaration	on of Probation	:			
8.	Current Designation	on	:			
9.	Present Basic pay	with Scale of pay	:			
10.	Date of Last Pron	notion, if any	:			
11.	Indicate whether OBC/ Minority/	belongs to (SC/ST/ Differently Abled)	:			
12.	Address for corres Code) with Mobile	pondence (with Pin e No. and E-mail	:			
13.	Permanent Addres	es (with Pin Code)	:			
14.	Academic Qualific					
	Examinations	Name of the Board/University	Year of Passing	% of marks obtained	Division/ Class/ Grade	Subject
	Graduation					
	Post Graduation					

**NET** 

#### 15. Research Degrees

Degree	Title of Thesis/ Dissertation	Date of Award	Discipline/	University
		with Reg. No.	Subject	
M.Phil.				
Ph.D.				
Any other*				

<sup>\*</sup>Professional qualification such as M. Tech., etc.

#### 16. Orientation & Refresher/Research Methodology/Short Term Course attended

Name of the Course	Duration and Date	Name of the Centre conducting the Course	Sponsoring Agency			

#### 17. Seminars/ Training programmes attended:

Name of the Course	Duration and Date	Name of the Centre	Sponsoring Agency
		conducting the Course	

#### Part – B: Assessment Criteria and Methodology

(Applicants are required to refer to the relevant provisions of UGC Regulations, 2018 relating to Career Advancement Scheme (CAS) and the Guidelines issued by DCE before filling this section)

#### Activity 1: Teaching/Attendance

Year	Mode of Teaching	Total Classes Assigned	No. of Classes taught as per documented record	$\frac{\text{No. of classes taught}}{\text{Total No. of classes assigned}} \times 100\%$

### Activity 2: Involvement in Students Related Activities/ Research Activities

(Refer Annexure II, Table 1(a) of DCE guidelines)

1	a	) Administrative r	enoncihilitiec ·
١	a	<i>j</i> Aummsnanver	.sponsionities .

(b) Examination and evaluation duties

(c) Student related co-curricular, extension and

field based activities

(d) Organizing seminars/ conferences/ workshops, other college/ university

activities

(e) Research Guidance Activities :

(f) Major/ Minor Projects :

(g) Publications :

#### Part-C: Other Relevant Information

Please give details of any other credential, significant contributions, awards received etc. not mentioned earlier:

Sl. No.	Details (Mention Year, Value etc. where relevant)

(Attach documentary proof in support of the information provided by you in this proforma)

verifial under	hereby declare that the information/documents provided by me are correct and ble, and I have carefully read the relevant provisions relating to placement/promotion Career Advancement Scheme (CAS) contained in UGC Regulations, 2018 as led from time to time.
Place Date	Signature of Applicant: Name and Designation: :
workin since.	Certified that Mr./Ms./Dr
Place Date	
	Remarks of the Principal on Punctuality and Conduct of the Applicant
D1	Principal
Place Date	·
<b>D</b> uil	•

Annexure I Form No. 5

# Collegiate Education Department Kerala

# Annual Performance Assessment Report

(for physical education faculty)

(As per UGC Regulations on Minimum Qualifications for Appointment of Teachers and Other Academic Staff in Universities and Colleges and Measures for the Maintenance of Standards in Higher Education, Regulations, 2018)

	APAR	for the Academi	c Year .	•••••		
				PEN No.		
Part	-A: General Info	rmation and Acad	emic Bac	kground		
1.	Name (in Block L	etters)	:			
2.	Father's /Mother's	s Name	:			
3.	Date of Birth		:			
4.	Gender		:			
5.	Name of the Colle	ge & Department	:			
6.	Date of Entry in p	resent service	:			
7.	Date of Declaration	on of Probation	:			
8.	Current Designation	on	:			
9.	Present Basic pay	with Scale of pay	:			
10.	Date of Last Prom	notion, if any	:			
11.	Indicate whether OBC/ Minority/	belongs to (SC/ST/ Differently Abled)	:			
12.	Address for corres Code) with Mobile	pondence (with Pin e No. and E-mail	:			
13.	Permanent Addres	es (with Pin Code)	:			
14.	Academic Qualific	rations				
1-1,	Examinations	Name of the Board/University	Year of Passing	% of marks obtained	Division/ Class/ Grade	Subject
	Graduation Post Graduation					

**NET** 

15. Research Degrees

Degree	Title of Thesis/ Dissertation	Date of Award	Discipline/	University
		with Reg. No.	Subject	
M.Phil.				
Ph.D.				
Any other*				

<sup>\*</sup>Professional qualification such as M. Tech., etc.

#### 16. Orientation & Refresher/Research Methodology/Short Term Course attended

		23	
Name of the Course	Duration and Date	Name of the Centre conducting the Course	Sponsoring Agency

#### 17. Seminars/ Training programmes attended:

Name of the Course	Duration and Date	Name of the Centre conducting the Course	Sponsoring Agency

#### Part – B: Assessment Criteria and Methodology

(Applicants are required to refer to the relevant provisions of UGC Regulations, 2018 relating to Career Advancement Scheme (CAS) and the Guidelines issued by DCE before filling this section)

#### **Activity 1: Attendance**

Year	Mode of Teaching	Total Classes Assigned	No. of Classes taught as per documented record	$\frac{\text{No. of classes taught}}{\text{Total No. of classes assigned}} \times 100\%$

#### Activities 2 to 5

(Refer Annexure II, Table 1(b) of DCE guidelines)

- 2 Organizing intra college competition
- 3 Institution participating in external competitions
- 4 Up-gradation of sports and physical training infrastructure with scientific and technological inputs. / Development and Maintenance of playfields and sports and Physical Education facilities
- 5 (i) Students of the institution participating in National/ State/ University (for college levels only) teams.

  Organizing State/ National/ inter
  - (ii) Invited for coaching at State/National level.

university/inter college level competition.

- (iii) Organizing workshops :
- (iv) Research Publications in UGC approved journal.

  Assistance in college administration and

governance related work including work done during admissions, examinations and extracurricular college activities

**Principal** 

#### Part-C: Other Relevant Information

Please give details of any other credential, significant contributions, awards received etc. not mentioned earlier:

Ξ.		**** ==** -=***************************
	Sl. No.	Details (Mention Year, Value etc. where relevant)
1	Attach doc	umentary woof in support of the information woulded by you in this woforma)

(Attach documentary proof in support of the information provided by you in this proforma)

I hereby declare that the information/documents provided by me are correct and verifiable, and I have carefully read the relevant provisions relating to placement/promotion under Career Advancement Scheme (CAS) contained in UGC Regulations, 2018 as amended from time to time. Signature of Applicant: Name and Designation: Place Date: working as .....in the department since ....... The particulars given in this application have been checked and verified from the office records and are found to be correct. Head of the Department Place: Date: Remarks of the Principal on Punctuality and Conduct of the Applicant

Place Date: Annexure I Form No. 6

## Affidavit

(for faculty on deputation)

Certified that I(Name and
Designation) presently working as
(Designation) under
(Department/ Institution) has submitted proposals related to placement/promotion
from
(Name of college
where proposal is to be processed).
Signature of Applicant : Name and Designation :
Place : Date :
Affidavit  (for DCE faculty working in Engineering/Medical/ Polytechnics/ Law Colleges)
Certified that I(Name and
Designation) working at
(Institution) has submitted proposals related to placement/promotion from
to
(Name of college
where proposal is to be processed).
Signature of Applicant :  Name and Designation :
Place : Date :

\*Strike off which is not applicable

Annexure I Form No. 7

## **Summary of Assessment**

### Placement from Associate Professor (Level 13A) to Professor (Level 14)

(to be filled by the Selection Committee)

			PEN No	•		
1.	Name (in Block Letters)	:				
2.	Date of Birth	:				
3.	Subject	:				
4.	Date of Entry in Govt. College service	:				
5.	Date of Entry in Aided service (if any)	:				
6.	Date of Declaration of Probation	:				
7.	Date of placement as Associate Professor (Level 13A)	:				
8.	Indicate whether belongs to (SC/ST/OBC/ Minority/ Differently Abled)	:				

Eli	gibility and CAS Requirements	Yes/ No	Remarks
1.	Completed 3 years of service in Academic Level		
	13A (Associate Professor)		
2.	Ph.D. Degree in Subject relevant/allied/relevant		
	discipline		
3.	Minimum of 10 Research Publications in peer-		
	reviewed or UGC-listed journals		
4.	Three research papers were published during the		
	assessment period		
5.	Minimum of 110 research score (as per Appendix II,		
	Table 2 of UGC Regulations 2018)*.		
6.	Research Score claimed is from a minimum of 3		
	categories out of 6 categories		
7.	Overall grade is Good or Satisfactory in APAR for		
Ì	at least 02 Years of the assessment period		

<sup>\*</sup>the combined score from Category 5(b) & 6 has an upper capping of 30% of the total score

#### I. Assessment of Annual Performance

Category*	20xx-xx	20xx-xx	20xx-xx	20xx-xx
Activity 1				
Activity 2				
Activity 3				
Activity 4				
Activity 5				
Overall grading				

<sup>\*</sup> Activities 1 to 5 for Physical Education faculty. For others Activity 1 & 2 only.

### II. Assessment of Academic/ Research Scores

Ré	Academic/ esearch Activity	Score Claimed	Score approved by SC
1	1		
2	2 (a)		
	2 (b)		
	3 (a)		
3	3 (b)		
3	3 (c)		
	3 (d)		
	4 (a)		
4	4 (b)		
4	4 (c)		
	4 (d)		
	5 (a)		
5	5 (b)		
	5 (c)		
6	6		
	Total Score		

Recommendations of the Selection Committee
--

Name, Official Address & Signature of the Members of the Committee
NOT ELIGIBLE to be placed as Professor (Please assign reason below)
ELIGIBLE to be placed as Professor with effect from

## **PBAS Score sheet**

(to be prepared by IQAC)

$\mathbf{p}_{2}$	art	_	Δ

1.	Name of the candidate		
2.	Subject		
3.	Date of Entry in present service		
4.	Date of continuous service		
5.	Date of Declaration of Probation		
6.	Designation and Official Address		
7.	Basic Pay		
8.	Academic Level for which the candidate is an applicant for promotion under CAS ( <i>Please Tick</i> )	☐ Asst. Prof. [Level 1 ☐ Asst. Prof. [Level 1]	0] to Asst. Prof. [Level 11] 1] to Asst. Prof. [Level 12] 2] to Asso. Prof. [Level 13] 3A] to Professor [Level 14]
9.	Non Qualifying period of service, LWA etc. if any		
10.	Whether the applicant possess M. Phil./Ph.D. (if so furnish the Name of the University, Month and Year of award of Degree Certificate).		
11.	Whether eligible for relaxation of service for Promotion/placement to senior grade, If so how many years		
12.	Number of years of qualifying service		
13.	Proposed date of Eligibility for Promotion as per Eligibility Conditions and CAS Promotion Criteria		

#### 14. Details of Placements received

Designation	Date of Placement	Service Completed (Yrs)
Assistant Professor (Sr. Scale/ Level 11)		
Assistant Professor (Sel. Grade/ Level 12)		
Associate Professor (Level 13 A)		

# Part B (Assessment Criteria and Methodology) (Refer Annexure II Table 1 and 2 of DCE Guidelines)

Activity 1: Teaching
(Refer Annexure II Table 1(a) of DCE Guidelines)

Year	Mode of Teaching	Total Classes Assigned	Number of Classes engaged as per documented record	No. of classes taught Total No. of classes assigned	Grading (by IQAC)
20xx-xx					

### Activity 2: Involvement in Students-Related Activities/ Research Activities

(Refer Annexure II Table 1(a) of DCE Guidelines)

(==5)	Thinksaic II Tuon I(u) by Deli Guidenness		No.	of A	ctiv	ities		,	Sugg	estec	l Gra	ading	5
	vement in the college students related ities/ research activities	20xx-xx											
(a)	Administrative responsibilities such as Head, Chairperson/ Dean/ Director/ Co-ordinator, Warden etc.												
(b)	Examination and evaluation duties assigned by the college / university or attending the examination paper evaluation.												
(c)	Student related co-curricular, extension and field based activities such as student clubs, career counselling, study visits, student seminars and other events, cultural, sports, NCC, NSS and community services.												
(d)	Organizing seminars/conferences/ workshops, other college/university activities.												
(e)	Evidence of actively involved in guiding Ph.D. students.												
(f)	Conducting minor or major research project sponsored by national or international agencies.												
(g)	At least one single or joint publication in peer reviewed/UGC list of Journals.												
	Total Number of Activities												

## Physical Education (Refer Annexure II Table 1(b) of DCE Guidelines)

Activity 1: Attendance

Year	Mode of Teaching	Total Days Assigned	Number of Days engaged as per documented record	No. of days engaged Total No. of days assigned	Grading (by IQAC)
20xx-xx					

**Activity 2:** 

**Activity 3:** 

		No.	of A	1ctivi	ties			Sugg	gestea	! Gra	ding	
Institution participating in external competitions	20xx-xx											

Activity 4:

		No.	of A	1ctivi	ties			Sugg	gestea	! Gra	ding	
Up gradation of sports and physical training infrastructure with scientific and technological inputs.	20xx-xx											
Development and maintenance of playfields and sports and physical education facilities												

#### **Activity 5:**

(i) At least one student of the institution		No.	of A	1 <i>ctivi</i>	ties			Sugg	gested	! Gra	ding	
participating in national /state/university (for college levels only), teams. organizing state/national/inter university/inter college level competitions	20xx-xx	20xx-xx	20xx-xx	20xx-xx	20xx-xx	20xx-xx	20xx-xx	20xx-xx	20xx-xx	20xx-xx	20xx-xx	20xx-xx
(ii) Being invited for coaching at state/ national level (iii) Organizing at least three workshops in a year (iv) Publication of at least one research paper in UGC approved journal, assistance in college administration and governance related work done during admission, examinations and extracurricular college activities												

Option A – Summary of Grading

Categories	20xx-xx	20xx-xx	20xx-xx	20xx-xx	20xx-xx	20xx-xx
Activity 1						
Activity 2:						
Overall Grading						

<sup>\*</sup> Refer Table 1(c) for Assessment Criteria

Option A - Summary of Grading - Physical Education

Option 11 Dumin	nary or Gra	wing inju	icui Laucui	1011		
Categories	20xx-xx	20xx-xx	20xx-xx	20xx-xx	20xx-xx	20xx-xx
Activity 1						
Activity 2						
Activity 3						
Activity 4						
Activity 5						
Overall Grading						

<sup>\*</sup> Refer Table 1(c) for Assessment Criteria

**Summary of Scores** (for placement as Professor)

1	1 2 3					4					5			
1	a	Ъ	a	b	С	d	a	b	С	d	a	Ъ	С	0
	<u> </u>	l												
	Total Academic/ Research Score								Sco	ore clair	ned	Sug	gested	Score
				vith m er Ann										
				0-1			1.0		(	Claime	d	S	Suggest	ted
N	No. of Categories contributing to Total Score							re						

<sup>\*</sup>Refer Annexure II Table 2

Prepared by Verified by Name and Signature of IQAC Coordinator

Place:

Date:

Annexure I Form No. 9

### Sharable Link - MOOCs and E Content Placement from Associate Professor (Level 13A) to Professor (Level 14)

	PEN No.
1.	Name (in Block Letters) :
2.	Name of the College & Department :
2.	Traine of the conege & Department .
M	OOCs
1.	Name of MOOCs
	Link
	User Name
	Password
2.	Name of MOOCs
	Link
	User Name
	Password
*_	Add/delete rows as required
T.	Content
	vk to E-Content
	pository
	have carefully read the relevant provisions relating to promotion under Career Advancement (CAS) contained in UGC Regulations, 2018 as amended from time to time.  Signature:  Name and Designation:
	<u> </u>
Pla	ace :
Da	te :
	Verified and found correct
	Head of the Department
Pla	ace :
Da	te :
	Principal
	<u>-</u>
Pla Da	ace :

#### Annexure II

# Table 1. Assessment Criteria and Methodology for College Teachers (a) Detailing of activities – For faculty other than Physical Education

Sl. No.	Activity
1	Teaching
	Grading Criteria
	80% and above – Good; Below 80% but 70% & above – Satisfactory; Less than 70% –
	Not Satisfactory
	(Classes taught includes sessions on tutorials, lab and other teaching related activities)
	Note:
	Number of activities can be within or across the broad categories of activities
2	Involvement in the college students related activities / research activities
2	Involvement in the college students related activities/ research activities  Grading Criteria
	Good – Involved in at least 3 activities; Satisfactory – 1 to 2 activities
	<b>Note</b> : Number of activities can be within or across the broad categories of activities
	(a) Administrative responsibilities such as Head, Chairperson/ Dean/ Director/ Co-
	ordinator, Warden etc.
	Principal (Full Additional Charge); Vice Principal; HOD; Hostel
	Warden/Resident Tutor; Chairman/Addl. Superintendent in charge of
	University Exams in Colleges; IQAC Coordinator/ PTA Secretary, College
	Council Secretary; CDC Convenor/Staff representative; CAMS – Nodal
	Officer; State Public Information Officer – RTI; Nodal Officers – UGC, AISHE,
	NPTEL, Scholarships etc
	PTA Executive Committee Members; CDC Member; University Exam
	Committee Members in Colleges
	Faculty holding positions of IQAC; NAAC/AICTE Affiliation; RUSA; KIIFB.
	College Council Member (Elected member only); Class Tutor
	Faculty holding positions of various Committees (Discipline; Admission;
	Purchase; Planning Board; CLMC; Research; Attendance; Ethics; Jagratha Samithi; Library; Other bodies under UGC plan etc), faculty involved in the
	preparation of master time table,
	Faculty involved in various centres in the college EDUSAT; Language lab;
	Digital Resources; Data Repository; Website; Centralised Computing Facility
	(CCF); Fitness Centre; Experimentation Centre; Instrumentation Centre; Start-
	up units; Technology Business Incubator (TBI); INFLIBNET; Botanical garden
	etc.
	Staff in Charge of Department Alumni Association
	<ul> <li>Faculty holding positions of Staff Club; College Cooperative Society</li> </ul>
	Faculty involved in College Union Election Returning Officer/ARO
	(b) Examination and evaluation duties assigned by the college / university or attending
	the examination paper evaluation.
	Valuation of University answer scripts as per allotment–Chairman/Chief/Addl.
	Examiner
	<ul> <li>University Exam Invigilation as per allotment</li> <li>Evaluation of Dissertation (Project Viva) – External Examiner/Chairman</li> </ul>
	<ul> <li>Evaluation of Dissertation (Froject Viva) – External Examiner/ Chairman; University Practical</li> <li>University Practical Exam – External Examiner/ Chairman; University Practical</li> </ul>
	Exam – Internal/Skill
	<ul> <li>University Examination Squad duties – External</li> </ul>
	■ Internal Exam – Question paper setting, Valuation of Answer scripts
	<ul> <li>University/Autonomous College Question Paper setting</li> </ul>
	(c) Student related co-curricular, extension and field based activities such as student
	clubs, career counselling, study visits, student seminars and other events, cultural,
	sports, NCC, NSS and community services.
	NSS District Coordinator, NSS Programme Officer, NCC Officer, NCC care
	taker

- Coordinators of New initiatives programmes of DCE (FLAIR, WWS, SSP, ASAP etc)
- Faculty involved in various Cells/Clubs such as Anti-ragging, Anti-harassment; Placement, Training, Guidance and Counselling, CASH, Continuing Education, Women's Units, Nature Club, Science Club, HR Club, Tourism Club, Literary Club, Entrepreneurship club, Health club, Yoga Club, Sports Club, Arts Club, Film/Drama Club, Bhoomithrasena and all other clubs/forums for promoting co-curricular education, College Alumni Association, Community College, Other bodies under UGC plan etc), Extension activities coordinator
- Staff Advisor of College Union, Staff Editor of College Magazine, Arts Club Advisor of College Union
- Staff accompanying students for Study Tour/arts/ sports events
- Stage Managers of University Youth Festival
- Editor College News Letter/Campus Publications; Convenor/Editor/Subeditor of College Souvenirs
- Faculty in charge of Extension and Outreach programmes
- Faculty in Charge of Documentation of Annual Reports, Administrative Reports etc of the college
- Organizing and conduction of popularization programmes/training courses in computer assisted teaching/web-based learning and e-library skills to students
- Faculty involved in preparing Participatory Learning modules/Interactive course/Case Studies
- Faculty involved in Developing and imparting soft skills/ communication skills/personality development courses/psychological counselling modules;
- Faculty involved in N-LIST/ INFLIBNET/EDUSAT/ORICE/ NPTEL
   Usage/preparation of digital library with seminar papers, uploading of seminar
   papers/ resource materials to the college website, scholar portal etc/ Use of
   online course management system.
- e-content (developed in 4 quadrants) per module MOOCs (developed) per module/lecture
- Faculty involved in Mentoring Internal and external (e.g. WWS, SSP etc);
   Industrial/Institute visits
- Community work such as values of National Integration, Environment democracy, socialism, Human Rights, Peace, scientific temper; flood or, drought relief, small family norms etc., Census work, waste management, organic farming, social forestry, NGO related activities
- (d) Organising seminars/conferences/workshops, other college/university activities.
  - Organising seminars/conferences/workshops
  - Invited talk in seminars/conferences/workshops
  - Chairing sessions in seminars/conferences/workshops
  - Paper/Poster presentation in seminars/conferences/workshops
  - Subject Expert in Curriculum Preparation/Question paper Setting/Physical Efficiency Test for Govt. Bodies like KPSC, SSC, UPSC, HSE, SCERT, Open School, Saksharatha Mission, Pareeksha Bhavan, Distance education etc; Subject Expert in PSC Interview Board/FIP Interview
  - Member-Board of Studies; Academic Council; Pass Board; Scrutiny Board;
     Curriculum Committee of the University, Senate Member, Syndicate member
  - Faculty involved (nodal officer/ coordinator/ member) in programmes initiated by DCE (e-governance, ORICE, NMEICT, IT cell etc)/ Higher education State Level
  - Recipients of Internships (eg. FLAIR) International/ National
  - Membership/Participation/Subject Expert in State/Central Bodies/Committees on Education, Research, Sports and National Development
  - Organizing Programmes on PEECS, FLAIR, WWS, SSP, e-Governance
  - Lectures delivered in refresher/orientation/ faculty development courses/ New initiative programmes (eg. FLAIR, WWS, SSP, ASAP etc)

	Editors of Research Journals
	<ul> <li>Membership in Renowned Professional Associations (per current membership)</li> </ul>
	<ul> <li>Interaction with Industry, Industrial Experience for students</li> </ul>
	<ul> <li>Conducting Bridge Courses, Finishing school (in Polytechnics), Gifted Children</li> </ul>
	programme
(e)	Evidence of actively involved in guiding Ph.D students.
	■ Each Ph.D. produced/year is to be treated as an activity
	<ul> <li>Guiding all ongoing Ph.D.s is to be treated as a single activity</li> </ul>
	Evaluation of Ph.D. thesis
	Each M Phil. / PG Dissertation is to be treated as an activity
	A UG Project is to be treated as an activity
(f)	Conducting minor or major research project sponsored by national or international
	agencies.
	■ Each ongoing project (Major/Minor/Consultancy) is to be treated as an
	activity
	Each submitted project in an year is to be treated as an activity
(g)	At least one single or joint publication in peer reviewed/UGC list of Journals.
	Each publication is to be treated as an activity
	<ul> <li>Publication can be in the form of journals, books, patents, policy documents</li> </ul>

Assessment must be based on evidence produced by the teacher such as: copy of publications, project sanction letter, utilization and completion certificates issued by the University and acknowledgements for patent filing and approval letters, students Ph.D. award letter, etc.

#### **Overall Grading:**

Good: Good in teaching and satisfactory or good in activity at Sl.No.2.

Or

**Satisfactory:** Satisfactory in teaching and good or satisfactory in activity at Sl.No.2.

Not Satisfactory: If neither good nor satisfactory in overall grading

**Note**: For the purpose of assessing the grading of Activity at Serial No. 1 i.e. Teaching all such periods of duration which have been spent by the teacher on different kinds of paid leaves such as Maternity Leave, Child Care Leave, Study Leave, Medical Leave etc. and Deputation shall be excluded from the grading assessment. The teacher shall be assessed for the remaining period of duration and the same shall be extrapolated for the entire period of assessment to arrive at the grading of the teacher. The teacher on such leaves or deputation as mentioned above shall not be put to any disadvantage due to his/her absence from his/her teaching responsibilities subject to such leaves/deputation was undertaken with the prior approval of the competent authority following all procedures laid down in these regulations and as the acts, statutes and ordinances of the parent institution.

(b): Detailing of activities – For Physical Education faculty

01.37	(b): Detailing of activities – For Physical Educat	<b>y</b>
Sl. No.	Activity	Grading Criteria
1.	Attendance calculated in terms of percentage of days attended to the total number of days he is expected to attend	90% and above-good  Below 90% but 80 % and above- satisfactory  Less than 80%- not satisfactory
2.	<ul> <li>Organizing intra College Competition</li> <li>Organizing Annual Athletic Meet, Conducting Inter Class Sports Tournaments, Sports Coaching Camps-Short Term &amp; Long Term,</li> <li>Conducting Physical Fitness &amp; Health/ Fitness Awareness Programmes, Yoga Programmes, Corrective &amp; Adapted Physical Education Programmes, Anti Doping &amp; Drug Abuse Awareness Programmes, Life Style Diseases, etc.</li> <li>Conduct Awareness programmes on Rules &amp; Regulations of various sports &amp; games, Organize Minor Games &amp; Fun Games</li> <li>Conducting Psychological Counseling &amp; Motivation Programmes for improving sports Performance,</li> </ul>	Good – Intra-College competition in more than 5 disciplines  Satisfactory- Intra College competition in 3-5 disciplines,  Not Satisfactory-Neither Good nor Satisfactory
3.	<ul> <li>Institution participating in external competitions</li> <li>Participation in Inter Collegiate Sports Tournaments,         University/ Inter University/State/ National Level Sports         Competitions.</li> <li>Organize Community Health &amp; Fitness Awareness         Programmes for the Public</li> </ul>	Good - National level competition in at least 1 discipline plus state /district level competitions in at least 3 discipline , Satisfactory - State level Competition in at least 1 discipline plus district level competitions in at least 3 discipline, or district level Competition in at least 5 discipline Not Satisfactory - Neither Good nor Satisfactory
4.	Up gradation of sports and physical training infrastructure with scientific and technological inputs.  Development and maintenance of playfields and sports and physical education facilities	Good /satisfactory /Not Satisfactory to be assessed by the Promotion committee
5.	<ul> <li>(i) At least one student of the institution participating in national /state/university (for college levels only), teams. organizing state/national/inter university/inter college level competitions (ii) Being invited for coaching at state/national level (iii) Organizing at least three workshops in a year (iv) Publication of at least one research paper in UGC approved journal, assistance in college administration and governance related work done during admission, examinations and extracurricular college activities</li> <li>Membership or posts in University/State/ National/ International level sports organizations/ bodies</li> <li>Participation in KPSC Physical Efficiency Tests as a Board Member</li> <li>Participation in KPSC Interviews as a Board Member</li> </ul>	Good - involved in any 2 activities  Satisfactory- 1 activity  Not Satisfactory - not involved/undertaken any of the activities

- Being invited as an Official at District/State/National level/International Level
- Organizing seminars/conferences/workshops
- Invited talk in seminars/conferences/workshops
- Chairing sessions in seminars/conferences/workshops
- Paper/Poster presentation in seminars/ conferences/ workshops
- Organize at least three Sports Tournaments / Coaching Camps/ Fitness Programmes
- Principal (Full Additional Charge); Vice Principal; HOD; Hostel Warden/Resident Tutor; Chairman/Addl. Superintendent in charge of University Exams in Colleges; IQAC Coordinator/ PTA Secretary, College Council Secretary; CDC Convenor/Staff representative; CAMS Nodal Officer; State Public Information Officer RTI; Nodal Officers UGC, AISHE, NPTEL, Scholarships etc
- PTA Executive Committee Members; CDC Member;
   University Exam Committee Members in Colleges
- Faculty holding positions of IQAC; NAAC/AICTE Affiliation; RUSA; KIIFB.
- College Council Member (Elected member only); Class Tutor
- Faculty holding positions of various Committees (Discipline; Admission; Purchase; Planning Board; CLMC; Research; Attendance; Ethics; Jagratha Samithi; Library; Other bodies under UGC plan etc)
- Faculty involved in various centres in the college EDUSAT; Language lab; Digital Resources; Data Repository; Website; Centralised Computing Facility (CCF); Fitness Centre; Experimentation Centre; Instrumentation Centre; Start-up units; Technology Business Incubator (TBI); INFLIBNET; Botanical garden etc.
- Staff in Charge of Department Alumni Association
- Faculty holding positions of Staff Club; College Cooperative Society
- Faculty involved in programmes initiated by DCE (egovernance coordinator, ORICE coordinator, NMEICT nodal officer, IT cell nodal officer etc)/Higher education State Level
- Faculty involved in College Union Election Returning Officer/ARO
- Valuation of University answer scripts as per allotment— Chairman/Chief/Addl. Examiner
- University Exam Invigilation as per allotment
- Evaluation of Dissertation (Project Viva) External Examiner/Chairman
- University Practical Exam External Examiner/ Chairman; University Practical Exam – Internal/Skill
- University Examination Squad duties External
- Internal Exam Question paper setting, Valuation of Answer scripts
- University/Autonomous College Question Paper setting

#### **Overall Grading:**

Good: Good in Item 1 and satisfactory or good in any other two items

Satisfactory: Satisfactory in Item 1 and satisfactory/ good in any other two items.

Not Satisfactory: If neither good nor satisfactory in overall grading

#### Note:

- i. It is recommended to use ICT technology to monitor the attendance of sports and physical education and compute the criteria of assessment.
- ii. The institution must obtain student feedback. The feed-backs must be shared with the concerned Assistant/Associate Professor of Physical Education and Sports and also the CAS Promotion committee.
- iii. The system of tracking user grievances and the extent of grievance redressal details may also be made available to the CAS promotion committee.

#### (c) Overall grading requirements for the promotion of teachers under CAS

#### (i) For faculty other than Physical Education

Overall Grading Chart			
Activity – 1	Activity – 2		
80% and above – Good	Good – Involved in at least 3 activities	Overall Cuading	
Below 80% but 70% & above – Satisfactory	Satisfactory – 1 to2 activities	Overall Grading	
Less than 70% – Not Satisfactory			
Good	Good	Good	
Good	Satisfactory		
Satisfactory	Good		
Satisfactory	Satisfactory	Satisfactory	
Not Satisfactory	Good/ Satisfactory	- Not Satisfactory	
Good/ Satisfactory	Not Satisfactory		

#### (ii) For Physical Education faculty

#### Activity – 1

Attendance calculated in terms of percentage of days attended to the total number of days he is expected to attend.

Good – 90% and above

Satisfactory – Above 80% but below 90%

Not Satisfactory – Less than 80%

#### Activity - 2

#### Organizing intra college competition

Good – Intra college competition in more than 5 disciplines. Satisfactory – Intra college competition in 3–5 disciplines.

*Unsatisfactory – Neither good nor satisfactory* 

#### Activity - 3

#### Institution participating in external competitions

Good – National level competition in at least one discipline plus State/District level competition in at least 3 disciplines. Satisfactory – State level competition in at least one discipline plus district level competition in at least 3 disciplines. Or District level competition in at least 5 disciplines.

*Unsatisfactory – Neither good nor satisfactory.* 

#### Activity - 4

Up-gradation of sports and physical training infrastructure with scientific and technological inputs.

Development and maintenance of playfields and sports and physical Education facilities.

Good/Satisfactory/Not-Satisfactory to be assessed by the Promotion committee.

#### Activity – 5

- (i) At least one student of the institution participating in national/state/university (for college levels only) teams. Organizing state/national/inter university/inter college level competition.
- (ii) Being invited for coaching at state/national level.
- (iii) Organizing at least three workshops in a year.
- (iv) Publications of at least one research paper in UGC approved journal. Assistance in college administration and governance related work including work done during admissions, examinations and extracurricular college activities.

Good – Involved in any two activities.

Satisfactory – 1 activity

Not Satisfactory – Not involved/ undertaken any of the activities.

#### Overall Grading for Phy. Edn.:

Good	••	Good in Item 1 and Satisfactory/ good in any other two items
Satisfactory	:	Satisfactory in Item 1 and satisfactory/good in any other two items
Not Satisfactory	:	If neither good nor satisfactory in overall grading

# Table 2 (Refer Appendix II Table 2 of UGC Regulations dated 18.07.2018)

#### Methodology for College Teachers for calculating Academic/Research Score

(Assessment must be based on evidence produced by the teacher such as: copy of publications, project sanction letter, utilization and completion certificates issued by the University and acknowledgements for patent filing and approval letters, students' Ph.D. award letter, etc,.).

Sl. No.	Acade	emic/Research Activity	Faculty of Sciences/ Engineering / Agriculture/ Medical/Veterinary Sciences	Faculty of Languages/ Humanities/ Arts/ Social Sciences/ Library/ Education/ Physical Education/ Commerce/ Management & Other related disciplines	
1.		arch Papers in Peer-Reviewed or UGC I Journals	08 per paper	10 per paper	
2.	Publi	ications (other than Research papers)			
	(a)	Books authored which are published by;			
	(4)	International publishers	12	12	
		National Publishers	10	10	
		Chapter in Edited Book	05	05	
		Editor of Book by International Publisher	10	10	
		Editor of Book by National Publisher	08	08	
	(b)	Translation works in Indian and Foreign	00		
	(5)	Languages by qualified faculties			
		Chapter or Research paper	03	03	
		Book	08	08	
	<u> </u>	Door	00		
3.	Crea	velopment of new and			
	(a)	Development of Innovative pedagogy	05	05	
	(b)	Design of new curricula and courses	02 per curricula/course	02 per curricula/course	
	(c)	MOOCs		•	
		Development of complete MOOCs in 4 quadrants (4 credit course) (In case of MOOCs of lesser credits 05 marks/credit)	20	20	
		MOOCs (developed in 4 quadrant) per module/lecture	05	05	
		Content writer/subject matter expert for each module of MOOCs (at least one quadrant)	02	02	
		Course Coordinator for MOOCs (4 credit course) (In case of MOOCs of lesser credits 02 marks/credit)	08	08	
	(d)	E-Content	,		
		Development of e-Content in 4 quadrants	12	12	
		for a complete course/e-book			
		e-Content (developed in 4 quadrants) per module	05	05	
		Contribution to development of e-content module in complete course/paper/e-book (at least one quadrant)	02	02	
		Editor of e-content for complete course/ paper /e-book	10	10	
	1	paper / C-000k			
4.	(a)	Research guidance			
	(-,	Ph.D.	10 per degree awarded	10 per degree awarded	
			05 per thesis submitted	05 per thesis submitted	
		M.Phil./P.G dissertation	02 per degree awarded	02 per degree awarded	

	(b)	Research Projects Completed				
	` ′	More than 10 lakhs	10	10		
		Less than 10 lakhs	05	05		
	(c)	Research Projects Ongoing				
		More than 10 lakhs	05	05		
		Less than 10 lakhs	02	02		
	(d)	Consultancy	03	03		
	,	· •				
5.	(a)	Patents				
	` ′	International	10	10		
		National	07	07		
	(b)	*Policy Document (Submitted to an International body/organisation like UNO/UNESCO/				
	, ,	World Bank/International Monetary Fund etc. or Central Government or State Government)				
		International	10	10		
		National	07	07		
		State	04	04		
	(c)	Awards/Fellowship				
		International	07	07		
		National	05	05		
6.	*Inv	ited lectures / Resource Person/ paper pres	entation in Seminars/ Conf	erences/full paper		
	in Conference Proceedings (Paper presented in Seminars/Conferences and also published as full					
	paper in Conference Proceedings will be counted only once)					
	International (Abroad)		07	07		
	Inter	national (within country)	05	05		
	Natio	•	03	03		
	State	/University	02	02		

#### The Research score for research papers would be augmented as follows:

Peer-Reviewed or UGC-listed Journals (Impact factor to be determined as per Thomson Reuters list):

i.	Paper in refereed journals without impact factor	-	05 Points
ii.	Paper with impact factor less than 1	-	10 Points
iii.	Paper with impact factor between 1 and 2	-	15 Points
iv.	Paper with impact factor between 2 and 5	-	20 Points
v.	Paper with impact factor between 5 and 10	-	25 Points
vi.	Paper with impact factor >10	-	30 Points

- (a) Two authors: 70% of total value of publication for each author.
- (b) More than two authors: 70% of total value of publication for the First/ Principal/ Corresponding author and 30% of total value of publication for each of the joint authors. Joint Projects: Principal Investigator and Co-investigator would get 50% each.

#### Note:

- Paper presented if part of edited book or proceeding then it can be claimed only once.
- For joint supervision of research students, the formula shall be 70% of the total score for Supervisor and Cosupervisor. Supervisor and Co-supervisor, both shall get 7 marks each.
- \*For the purpose of calculating research score of the teacher, the combined research score from the categories of 5(b). Policy Document and 6. Invited lectures/Resource person/Paper presentation shall have an upper capping of thirty percent of the total research score of the teacher concerned.
- The research score shall be from the minimum of three categories out of six categories.

#### Annexure III

#### List of Documents to be sent along with the PBAS Proforma

- 1. Covering Letter of the Principal (DDFS generated)
- 2. Covering Letter of the Applicant (Addressed to the Director)
- 3. Option Form
- 4. PBAS Proforma
- 5. Annual Performance Assessment Reports (APARs) for the assessment period (3 Years)
- 6. Copy of Ph.D. and M.Phil. Certificates (Attested by the Principal)
- 7. Copy of Declaration of Probation (Self Attested)
- 8. Copy of DCE Order granting Placement as Associate Professor (Self Attested)
- 9. Copy of Details of LWA during the assessment period Leave Granting & Rejoining orders (*Self Attested*)
- 10. Copies of Research papers/Publications (Relevant pages attested by the Principal)
- 11. Copy of Documents to support claims made with respect to Academic/ Research Score (Part B.II of the PBAS Proforma) as detailed below
  - (a) Research papers/ : Relevant pages (Attested by the Principal on the Publications : first page)
  - (b) Development of Innovative : Certificate from Head of Institution in Letter head describing the Innovative Pedagogy developed by the candidate.
  - (c) Design of new curricula and course
     (d) Development of MOOCs
     Certificate form BoS Chairman (attested by the Principal)
     Certificate form BoS Chairman (attested by the Principal)
     Certificate form BoS Chairman (attested by the Principal)
    - (attested by the Principal)

       Login credentials (Nature of Content to be
  - *ascertained by the selection committee)*e) E-Content : Certificate from Head of Institution (with
  - (f) Research Guidance : Degree certificates (for Ph.D, M.Phil., PG) with Relevant pages of Thesis/Dissertation (Self Attested)
    - Certificate from Principal in Letter head (regarding PG Dissertations)

sharable link) in the format provided

- Thesis Submission certificates (in case of Ph.D. thesis submitted)
- (g) Research projects : Project sanction letter, Utilization & completion certificates(Attested by the Principal)
- (h) Consultancy : Memorandum of Understanding (MoU)

  (Attested by the Principal)
- (i) Patent filing/ Policy : Acknowledgement/Approval Letters, Patent Document : Certificate etc (Attested by the Principal)
- (j) Awards/ Fellowships : Award Letters, Orders granting Fellowship etc (Attested by the Principal)
- (k) Invited lectures / Resource : Certificates from organizers (Self Attested)
  Person/ Paper Presentation